

# 客戶重要通知

## Important Notice to Customers



### Anti-Bribery and Corruption Statement

#### Purpose

The China Construction Bank (Asia) Corporation Limited ("Bank") places great importance on maintaining the highest standards of business conduct and has a strict zero-tolerance policy towards bribery and corruption. All members of the Bank, including directors and staff members at all levels, are required to comply with all relevant laws, rules, and regulations related to anti-bribery and corruption, such as Sections 4, 8, and 9 of the Prevention of Bribery Ordinance (Cap. 201), guidelines issued by the Independent Commission Against Corruption, and similar laws and regulations in other jurisdictions where the Bank conducts business.

The Bank has established clear policies regarding anti-bribery and corruption for both directors and staff members, which are communicated to all members of the Bank at all levels. The Bank takes a strong stance against all forms of bribery and corruption and clearly outlines in its policies the restrictions on soliciting or accepting any form of advantage from others, as well as offering any advantage to an agent of another or public servant by its directors and staff members.

The Bank takes measures to ensure that all directors and staff members are cognizant of its unwavering stance on bribery and corruption. As such, directors and staff members are obligated to comply with the Bank's policies and regulations concerning anti-bribery and corruption. These policies and rules cover a broad range of areas, including but not limited to:

To avoid any conflicts of interest or the appearance thereof, the Bank mandates that its directors and staff members adhere to the relevant policies and guidelines. These policies assist staff members in identifying potential conflicts of interest and provide measures to prevent or manage such situations. If a staff member has any financial or non-financial interest, either directly or indirectly, in any customer, supplier, partner, subcontractor, or other principal dealing with the Bank, and that interest has the potential to influence their decision-making, they must disclose their interest and abstain from making decisions related to that matter. Additionally, staff members are prohibited from providing any assistance, advice, or information to any customer, supplier, partner, subcontractor, or other principal dealing with the Bank for any actions that stray from the legitimate or standard course of action.

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Directors and staff members are strictly forbidden from offering any form of bribe or advantage, as defined by the Prevention of Bribery Ordinance, to any individual, including but not limited to ex-employees, public servants, or agents of companies or organizations, in connection with the business of their principal. This prohibition encompasses gifts, loans, fees, rewards, commissions, employment contracts, business contracts, and other similar inducements intended to influence the execution of duties by the individual or organization in relation to their principal's affairs, either directly or indirectly.

Directors and staff members are strictly prohibited from soliciting, accepting, or retaining any personal benefits from other directors or staff members, customers of the Bank, or any individual or organization seeking to do business with the Bank. The Bank has established guidelines and procedures that directors and staff members must adhere to when accepting or retaining personal benefits under specific circumstances.

The Bank has implemented a whistleblowing policy to provide a proper avenue for reporting any illegal, unethical practices, or irregularities related to the Bank, including suspected bribery and corruption activities. The policy is designed to ensure that individuals can report such activities in good faith without fear of personal repercussions or the risk of retaliation.

Any failure to comply with the Bank's policies and rules related to anti-bribery and corruption may result in disciplinary or supervisory actions taken against the party involved. Moreover, any violations of relevant laws may also subject the concerned party to imprisonment and/or fines as stipulated by the applicable legislation in Hong Kong or other jurisdictions where the Bank operates.

All individuals associated with the Bank, including directors, agents, contractors, suppliers, and other associated persons, are expected to adhere to all applicable policies, laws, rules, and regulations related to anti-bribery and corruption when performing services for or on behalf of the Bank. The Bank reserves the right to terminate any business relationship, employment, or appointment that violates its zero-tolerance policy against bribery and corruption. The Bank also reserves the right to report any corrupt or fraudulent practices to law enforcement agencies.

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The Bank shall review this Statement on an annual basis and as necessary to ensure that it remains relevant and effective.