

**APPLICATION OF DORMANT ACCOUNT REACTIVATION (PERSONAL CUSTOMER- ALL DORMANT ACCOUNT)**

FOR BANK USE ONLY
Customer Number (nCIF) 客戶號碼: _____

Customer Name(s) : \_\_\_\_\_ ID document No. / Passport ^ \_\_\_\_\_  
^ Please delete as appropriate

**(A) Account Information**

All Account\*, Please provide one of the existing account(s) number : \_\_\_\_\_

\* Each reactivated account must perform successful financial transaction on the same day. Otherwise, the account(s) will become dormant and it is necessary to apply for reactivation again.

**(B) Customer Identification Information (The information provided will be updated in bank record.)**

<ul style="list-style-type: none"> <li>Maintenance processing time is around 1 – 3 working days upon China Construction Bank (Asia) Corporation Limited receive the completed form.</li> <li>Form is not applicable to ID document number update.</li> <li>New mailing address changes will be applied to all sole account(s) only.</li> <li>If you are an existing CCB (Asia) Credit Cardmember and you indicate that the changes should apply to one or more of your credit card accounts, the information you provided for this request shall replace the Bank's record in respect of all your credit card accounts.</li> <li>Address in the United States is not accepted for any investment accounts.</li> <li>P.O. Box does not apply as Mailing / Residential / Permanent address for any banking &amp; credit card accounts.</li> <li>For Mortgage Insurance Programme (MIP) customers, the correspondence address must be the same as the mortgaged property address.</li> </ul>					Bank use only :  Need to update NG record, please "✓" in <input type="checkbox"/> and provide country/area/ professional code (if needed)		
Expiry Date of ID document:			Date of Birth:			<input type="checkbox"/>	
Nationality:			Marital Status (Optional): <input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Others		Spouse's name (Optional):		
	Country	Country Code	Area Code	Phone Number			
Mobile No.*							Country Code <input type="checkbox"/>
Residential Tel No.							<input type="checkbox"/>
Office Tel. No.							<input type="checkbox"/>
Others Phone No.							<input type="checkbox"/>
E-mail Address* :							<input type="checkbox"/>
* If you have already registered for FPS's Addressing Service with your previous mobile number or e-mail address, please cancel the registration and re-register for the Addressing Service with your updated mobile number or e-mail address to continue using the Addressing Service to receive payment.							
Mailing Address:					<input type="checkbox"/>		
Flat _____ Floor _____ Block _____ House/ Building _____							
Estate/ Garden _____ Street No. & Name _____					Country Code		
District _____ Country _____ <input type="checkbox"/> HK <input type="checkbox"/> KLN <input type="checkbox"/> NT							
Oversea Mailing address (Please use Simplified Chinese characters for address in Mainland China): _____							
Residential Address : (If different from mailing address)					<input type="checkbox"/> Country Code		
Permanent Address : (If different from mailing address)					<input type="checkbox"/> Country Code		
Name of Company/Employer :					<input type="checkbox"/>		
Company Address :					<input type="checkbox"/> Country Code		
Profession / Occupation / Nature of Business: (Please specify precisely)					<input type="checkbox"/> Professional Code		
Position: <input type="checkbox"/> Director (21) <input type="checkbox"/> Manager (22) <input type="checkbox"/> Supervisor (23) <input type="checkbox"/> Clerical (24) <input type="checkbox"/> Professional (25) <input type="checkbox"/> Others (26)					Employed Since: <input type="checkbox"/>		
Income Level: (HK\$ '000)	< 180	180 - 300	301 - 600	601 - 1,000	> 1,000		
Annual Income (Self)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Annual Household Income	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

**(C) Purpose of Account**

Purpose of Account (Please select ALL the account purpose)	
<input type="checkbox"/> General Household / Personal Expenses <input type="checkbox"/> Savings <input type="checkbox"/> Payroll <input type="checkbox"/> Investment <input type="checkbox"/> Loan Repayment <input type="checkbox"/> Study <input type="checkbox"/> Others (Please specify): _____	
For overseas customers/non-resident, explain why bank accounts and banking services are required locally (can choose more than 1)	
<input type="checkbox"/> Business Visit <input type="checkbox"/> Family Visit <input type="checkbox"/> Leisure Travel <input type="checkbox"/> Investment <input type="checkbox"/> N/A, I live in Hong Kong <input type="checkbox"/> Others (Please specify): _____	

**(D) Source of Funds / Wealth / Income**

Subsequent Deposits Generally expected from	<input type="checkbox"/> Salary	<input type="checkbox"/> Business Income	<input type="checkbox"/> Pension / Social Welfare / Compensation	<input type="checkbox"/> Commission / Allowance
	<input type="checkbox"/> Investment Income	<input type="checkbox"/> Rental Income	<input type="checkbox"/> Others (Please specify): _____	
Origin Countries of Subsequent Deposits (1)		(2)	(3)	<input type="checkbox"/> Others:
Source of Wealth / Income	<input type="checkbox"/> Ownership of Business	<input type="checkbox"/> Employment	<input type="checkbox"/> Inheritance	<input type="checkbox"/> Sales of Property
	<input type="checkbox"/> Investments	<input type="checkbox"/> Others (Please Specify): _____		
Origin Countries of Source of Wealth / Income (1)		(2)	(3)	<input type="checkbox"/> Others:
Destination Countries of Use of Funds / Wealth/ Income mentioned above (1)		(2)	(3)	<input type="checkbox"/> Others:

**(E) Anticipated Level of Activity (Please select the HKD equivalent amount.)**

Cash activity	<input type="checkbox"/> No	<input type="checkbox"/> Yes, If yes, please answer the following:			
Expected monthly number of transaction:	<input type="checkbox"/> ≤10	<input type="checkbox"/> 11-50	<input type="checkbox"/> 51-100	<input type="checkbox"/> 101-200	<input type="checkbox"/> ≥200
Expected monthly value of transaction:	<input type="checkbox"/> ≤\$50000	<input type="checkbox"/> \$50,001-\$200,000	<input type="checkbox"/> \$200,001-\$1,000,000	<input type="checkbox"/> \$1,000,001-\$5,000,000	<input type="checkbox"/> ≥\$5,000,000
Expected foreign currency transaction:	<input type="checkbox"/> ≤10%	<input type="checkbox"/> 10-25%	<input type="checkbox"/> 26-50%	<input type="checkbox"/> 51-75%	<input type="checkbox"/> 76-100%
Wire Transfer/ Remittance/ interbank transfer	<input type="checkbox"/> No	<input type="checkbox"/> Yes, If yes, please answer the following:			
Expected monthly number of transaction:	<input type="checkbox"/> ≤10	<input type="checkbox"/> 11-50	<input type="checkbox"/> 51-100	<input type="checkbox"/> 101-200	<input type="checkbox"/> ≥200
Expected monthly value of transaction:	<input type="checkbox"/> ≤\$50000	<input type="checkbox"/> \$50,001-\$200,000	<input type="checkbox"/> \$200,001-\$1,000,000	<input type="checkbox"/> \$1,000,001-\$5,000,000	<input type="checkbox"/> ≥\$5,000,000
Expected foreign currency transaction:	<input type="checkbox"/> ≤10%	<input type="checkbox"/> 10-25%	<input type="checkbox"/> 26-50%	<input type="checkbox"/> 51-75%	<input type="checkbox"/> 76-100%
Deposit account (i.e., savings/ current/ time)	<input type="checkbox"/> No	<input type="checkbox"/> Yes, If yes, please answer the following:			
Expected monthly number of transaction:	<input type="checkbox"/> ≤10	<input type="checkbox"/> 11-50	<input type="checkbox"/> 51-100	<input type="checkbox"/> 101-200	<input type="checkbox"/> ≥200
Expected average account balance:	<input type="checkbox"/> ≤\$50000	<input type="checkbox"/> \$50,001-\$200,000	<input type="checkbox"/> \$200,001-\$1,000,000	<input type="checkbox"/> \$1,000,001-\$5,000,000	<input type="checkbox"/> ≥\$5,000,000
Expected foreign currency transaction:	<input type="checkbox"/> ≤10%	<input type="checkbox"/> 10-25%	<input type="checkbox"/> 26-50%	<input type="checkbox"/> 51-75%	<input type="checkbox"/> 76-100%
Loan account (if relevant) :	<input type="checkbox"/> No	<input type="checkbox"/> Yes, If yes, please answer the following:			
		<input type="checkbox"/> Revolving line of credit, credit limit: _____			
		<input type="checkbox"/> Term loan, limit around: _____			
Expected foreign currency transaction	<input type="checkbox"/> ≤10%	<input type="checkbox"/> 10-25%	<input type="checkbox"/> 26-50%	<input type="checkbox"/> 51-75%	<input type="checkbox"/> 76-100%

**(F) Type of Accommodation (Optional)**

Self-owned       Mortgaged       Rented       Others: \_\_\_\_\_

**(G) Education Level (Optional)**

University or above       Post Secondary       Secondary       Others: \_\_\_\_\_

**(H) Declare/ Update Tax Residency Identification Information (Applicable for new declaration update required)**

**CERTIFICATION OF U.S. CITIZENSHIP/ TAX RESIDENCY (INDIVIDUALS)**

I am not a U.S. Person (including a U.S. Citizen/ U.S. Green Card holder/ U.S. Tax Resident/ U.S. Resident)

I am a U.S. Person (including a U.S. Citizen/ U.S. Green Card holder/ U.S. Tax Resident/ U.S. Resident)

Please provide your US. Taxpayer Identification Number (TIN): \_\_\_\_\_

- All the information given above is true, correct and complete. If there is any change in connection to this Certification, I will promptly notify the Bank in writing within 30 calendar days and submit a new Certification.
- I agree that the Bank may collect, use, disclose and process my personal data for the purposes of this Certification.
- I agree that the Bank may disclose any information herein (including providing this Certification) to any third parties including any overseas government authority for the purposes of confirming or investigating the contents of this Certification.

**CRS SELF-CERTIFICATION FORM- INDIVIDUAL**

Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent (“TIN”)

Complete the following table indicating (a) the jurisdiction of residence (including Hong Kong) where the account holder is a resident for tax purposes and (b) the account Holder’s TIN for each jurisdiction indicated. Indicate all (not restricted to five) the jurisdictions of residence.

If the Individual account holder is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number.

If a TIN is unavailable, provide the appropriate reason A, B or C:

- Reason A – The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.
- Reason B – The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN if you have selected this reason.
- Reason C – TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.

Is Hong Kong the jurisdiction of residence where the account holder is a resident for tax purpose?  Yes  No

Apart from Hong Kong, if account holder is a resident for tax purpose in other jurisdictions of residence, please complete the table below.

Jurisdiction of Residence	TIN (If the account holder is a <u>tax resident of China</u> , PRC ID No. would be TIN)	Enter Reason A, B or C if no TIN is available	Explain why the account holder is unable to obtain a TIN if you have selected Reason B	For Bank Use Only Country Code:
(1)				
(2)				
(3)				

**“Declarations For Automatic Exchange Of Financial Account Information”**

I acknowledge and agree that (i) the information contained in this form is collected and may be kept by China Construction Bank (Asia) Corporation Limited for the purpose of automatic exchange of financial account information, and (ii) such information and information regarding the account holder and any reportable account(s) may be reported by China Construction Bank (Asia) Corporation Limited to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112) .

I undertake to advise China Construction Bank (Asia) Corporation Limited of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect, and to provide China Construction Bank (Asia) Corporation Limited with a suitably updated self-certification form within 30 days of such change in circumstances.

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. \$10,000).

**(J) Customer Declaration:**

I/ We hereby declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete. I have read and understood the Bank’s “Personal Data (Privacy) Ordinance Notification” and agree that my personal data given above will be treated in accordance with the Notification.

S.V.

Customer’s signature  
Date:

<b>For Bank Use Only</b> <i>(Original form to RCS; Copy form to KYC support team for section B to G)</i>			
<b>Branch:</b>			
<b>Verification by OTP</b>		<b>Account Reactivation Completion</b>	
Date:	Time (hh/mm):	Date:	
Handled by:		Input by	Approved by
<b>Voice recording record (mail in) #</b>		<b>RCS (For info. update in section B with “✓” and section H according to F712K )</b>	
Maker:	Checker:	Inputted by:	Checked by: